

08/26/03 16698 U.S. PTO

03915 U.S. PTO  
10/647320  
08/26/03

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

U.S. Patent and Trademark Office  
2011 South Clark Place  
Customer Window, Mail Stop Patent Application  
Crystal Plaza Two, Lobby, Room 1B03  
Arlington, VA 22202

**Utility Patent Application Transmittal**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No: 18-47 US Date: August 25, 2003  
First Inventor: Hossein Najaf-Zadeh  
Title: Bit Rate Reduction in Audio Encoders By Exploiting  
Inharmonic Effects and Auditory Temporal Masking

**Application Elements:**

1. ☒ Fee Calculation (see Box 14)
2. ☐ Applicant claims small entity status.
3. ☒ Specification Total Pages - 25
  - Description
  - Claims
  - Abstract of the Disclosure
  - Appendix A and Appendix B
4. ☒ Drawings - (10 Figures) Total Sheets - 7
5. ☒ Oath or Declaration Total Pages - 6
  - a. ☒ Newly executed (original or copy)
  - b. ☐ Unexecuted
  - c. ☐ Copy from a prior application (37 CFR 1.63(d))  
(for continuation/divisional with Box 12 completed)
    - i. ☐ DELETION OF INVENTOR(S)  
Signed statement attached deleting inventor(s) named in  
the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).

**Accompanying Application Parts:**

6. ☒ Assignment Papers (cover sheet & document(s))
7. ☐ Information Disclosure Statement (IDS)/PTO-1449 Copies of IDS Citations ☒
8. ☐ Preliminary Amendment
9. ☒ Return Receipt Postcard (Should be specifically itemized)
10. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)
11. ☐ Other:

12. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment:

☐ Continuation of prior Application No. filed  
☐ Divisional  
☐ Continuation-in-part (CIP)  
Prior application information: Examiner: Group Art Unit:

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5c, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon which a portion has been inadvertently omitted from the submitted application parts.

13. CORRESPONDENCE ADDRESS:


Customer Number: 25319  
Freedman & Associates  
117 Centrepointe Drive, Suite 350  
Nepean, Ontario  
K2G 5X3 CANADA  
Tel: (613) 274-7272  
Fax: (613) 274-7414  
Agent: Gordon Freedman, Registration No. 41,553

14. FEE CALCULATION:

<input type="checkbox"/> Applicant Claims Small Entity Status						AMOUNT
<b>1. BASIC FILING FEE</b>						<b>\$750.00</b>
Large Entity			Small Entity			
Fee Code	Fee (\$)		Fee Code	Fee (\$)		
101	750.00		201	370.00		
<b>2. EXTRA CLAIM FEES</b>						<b>\$270.00</b> <b>\$168.00</b>
Total Claims 35 - 20 =			Extra Claims 15	Fee From Below x\$18.00 =		
Independent Claims 5 - 3 =			0	x \$84.00 =		
Multiple Dependent			\$** =			
Large Entity			Small Entity			
Fee Code	Fee (\$)		Fee Code	Fee (\$)		
103	18.00		203	9.00		
102			84.00		202	42.00
<b>3. OTHER</b>						<b>\$40.00</b>
Fee Code - 581	Fee (\$) - 40.00		Recording Patent Assignment			
<b>TOTAL</b>						<b>\$1.228.00</b>

15. ☒ The Commissioner is hereby authorized to charge all fees in Box 14 above to Deposit Account 50-1142.
16. ☒ The Commissioner is hereby authorized to charge any additional fees required or credit any overpayment to Deposit Account No. 50-1142.

Submitted By

  
Gordon Freedman, Reg. No. 41,553  
Telephone: (613) 274-7272